

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Brubaker, et al.
Serial No.: Not Yet Assigned
Filed: January 23, 2002
For: Improved Process for the Production of
Sustained Release Drug Delivery Devices

Docket No. P02975



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited in the United States Postal Service as first class mail in an envelope addressed to: US Patent & Trademark Office, PO Box 2327, Arlington, VA 22202, on January 23, 2002.

Laura A. Galletto
Laura A. Galletto

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INFORMATION DISCLOSURE STATEMENT

US Patent & Trademark Office
PO Box 2327
Arlington, VA 22202

Sir:

Pursuant to the duty of candor and good faith set forth in 37 CFR 1.56(a), Applicant wishes to bring to the attention of the Examiner the references listed on the attached substitute Form 1449. Copies of the references are enclosed in accordance with 37 CFR 1.98(a).

Consideration of this material during the course of examination is respectfully requested.

Filing of this Statement is timely under 37 CFR 1.97(b) without payment of any fee. Accordingly, consideration of this material during the course of examination is respectfully requested. In the event that any fee is required in connection with this submission, the Assistant Commissioner for Patents is hereby authorized to charge Deposit Account No. 02-1425 the requisite fee. A copy of this document is submitted in triplicate.

Respectfully submitted,

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Dated: January 22, 2002

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Attorneycket No. P02975
Serial No.: Not Yet Assigned
Applicant: Brubaker, et al.
Filing Date: January __, 2002

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
AA	3,416,530	12/17/68	Ness	128	260	3/2/66
AB	3,618,604	11/9/71	Ness	128	260	6/9/69
AC	4,014,335	3/29/77	Arnold	128	260	3/25/76
AD	5,378,475	1/3/95	Smith, et al.	424	473	2/21/91
AE	5,773,019	6/30/98	Ashton, et al.	424	423	9/27/95
AF	5,902,598	5/11/99	Chen, et al.	424	423	8/28/97
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FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation Yes No
AU					
AV					
AW					

OTHER ART

(Including Author, Title, Date, Pertinent Pages, Etc.)

BA Controlled Drug Delivery (Part I, Xue Shen Wu, PhD, pp. 32, 33,
44-46, 63, 66 & 67) Technomic Publishing Co., Inc., 1996
BB
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Examiner

Date Considered